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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: **WALDMANN, Herman**Application No./Patent No.: **09/849,499**Filed/Issue Date: **May 4, 2001**Entitled: **METHOD FOR PRODUCING DENDRITIC CELLS****ISIS INNOVATION LIMITED**

(Name of Assignee)

**Corporation**

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_  
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

**10/11/2006**

Date

**(650) 327-3400**

Telephone number

**Attorney**

Title

**Carol L. Francis, Reg. No. 36,513**

Typed or printed name

Signature

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

## POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO

I hereby revoke all previous powers of attorney given in the application identified in the attached statement under 37 CFR 3.73(b).

I hereby appoint:

☒ Practitioners associated with the Customer Number:

24353

OR

☐ Practitioner(s) named below (if more than ten patent practitioners are to be named, then a customer number must be used):

Name	Registration Number	Name	Registration Number

as attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b).

Please change the correspondence address for the application identified in the attached statement under 37 CFR 3.73(b) to:

☒ The address associated with Customer Number:

24353

OR

☐ Firm or individual Name

Address

City

State

Zip

Country

Telephone

Email

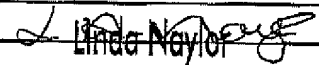
Assignee Name and Address:

ISIS INNOVATION LIMITED  
EWERT HOUSE, EWERT PLACE  
SUMMERTOWN, OXFORD  
OX2 7SG UNITED KINGDOM

A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.

### SIGNATURE of Assignee of Record

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

Signature		Date:	11/10/06
Name	Linda Naylor	Telephone:	0044 1865 230910
Title	Head of Life Sciences Group		

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application for a patent. This collection is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

## ASSIGNMENT

This assignment between HERMAN WALDMANN, PAUL FAIRCHILD, RICHARD GARDNER and FRANCES BROOK (the Assignors) and ISIS INNOVATION LIMITED, a British company of 2 South Parks Road, Oxford OX1 3UB (the Assignee).

### WHEREAS:-

- a. The Assignors are the inventors of an invention made the subject of British Patent Application No. 9324306.6 filed 5 NOVEMBER 1998 entitled "METHOD FOR PRODUCING DENDRITIC CELLS".
- b. By virtue of a verbal assignment made prior to 5 NOVEMBER 1998, and for good and sufficient consideration receipt of which is hereby acknowledged, all rights in the invention vest in the Assignee, and the Assignors now wish to provide written confirmation of this.

### NOW THEREFORE:-

The Assignors hereby assign to the Assignee all their rights in the said invention together with the right to apply for and obtain patent or similar protection in any country absolutely, and agree to execute and do at the Assignee's expense all such documents, acts and things as may be required by the Assignee for the purpose of applying for, prosecuting and obtaining patent or similar protection in respect of the said invention in any country whether in their own names, the Assignee's name, or as the Assignee shall direct absolutely.

Herman Waldmann  
HERMAN WALDMANN

Date 30.11.98

Richard Gardner  
RICHARD GARDNER

Date 4/12/98

T. Cook  
For and on behalf of  
ISIS INNOVATION LIMITED

Paul Fairchild  
PAUL FAIRCHILD

Date 30.11.98

Frances A. Brook  
FRANCES BROOK

Date 4/12/98

Date 14.12.98